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## DESCRIPTION

# BASE STATION APPARATUS AND ADAPTIVE MODULATION METHOD

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## Technical Field

The present invention relates to a base station apparatus that performs adaptive modulation in a communication system such as HSDPA (High Speed Downlink Packet Access) and an adaptive modulation method used in this apparatus.

# Background Art

As a faster IMT-2000 packet transmission system,

15 a scheme called "HSDPA (High Speed Downlink Packet
Access)" intended to enhance a downlink peak transmission
rate, decrease transmission delay and improve throughput
is under study. As a technology for constructing the
HSDPA, the 3GPP (3rd Generation Partnership Project)

20 TR25.848 "Physical layer aspects of UTRA High Speed
Downlink Packet Access" discloses a transmission scheme
called "AMC (Adaptive Modulation and Coding).

This AMC technology is a technology for changing adaptive modulation parameters such as modulation

25 multivalue number, error correcting coding rate, etc., adaptively and at high speed according to a variation in channel quality. The AMC technology increases the transmission rate using a greater modulation multivalue

number and coding rate as the channel quality improves. More specifically, a mobile station measures a propagation path environment on a downlink at all times and notifies a base station of an adaptive modulation request CQI (Channel Quality Indicator) based on this measuring result. This CQI value corresponds to a set of adaptive modulation parameters. The base station determines a mobile station to which transmission data should be sent and optimal adaptive modulation parameters based on this CQI and sends transmission data.

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Modulation multivalue number (for example, switched between QPSK (Quaternary Phase Shift Keying) and 16QAM (Quadrature Amplitude Modulation), coding rate (for example, turbo-coded at R=1/3 and changed by puncturing or repetition), etc., are under study as parameters (adaptive modulation parameters) to be changed by adaptive modulation. On the other hand, CIR (Carrier to Interference Ratio), SIR (Signal to Interference Ratio), transmit power of dedicated channel (e.g., DPCH (Dedicated Physical Channel)), etc., are used as channel quality information.

For example, an example of application of the AMC technology in determining adaptive modulation parameters is a scheme for changing an MCS (Modulation and Coding Scheme; modulation multivalue number and coding rate) based on a CIR or transmit power of a dedicated channel reported to a base station from a mobile station.

However, there are problems as shown below in

determining such adaptive modulation parameters. That is, even if adaptive modulation parameters are determined based on instantaneous information, reliability of information decreases due to influences of a delay until apacket is actually assigned, movement of a mobile station or measuring errors of channel quality at a mobile station or base station, etc. For example, when the moving speed of a mobile station is low, a variation in a propagation environment is not so large, but when the moving speed is high, the variation in the propagation environment increases and reliability of information decreases. As a result, it is not possible to optimize assignment of adaptive modulation parameters, which may have a great influence on the throughput of a communication system.

As a method for solving this problem, a conventional base station apparatus detects a relative moving speed of the party on the other end of communication and corrects a conversion scheme determined based on the channel quality information using this detected relative moving speed of the party on the other end of communication (e.g., see TECHNICAL REPORT OF IEICE SST2001-77, RCS2001-260 (2002-03)).

However, even if adaptive modulation is performed considering the relative moving speed of the party on the other end of communication as shown above, the conventional apparatus determines adaptive modulation parameters based on the propagation path environment in a single uniform way regardless of the type of transmission

data and does not always correct the adaptive modulation parameters even for data with high QoS (Quality of Service), for example, data with a strong real-time characteristic and data of great importance and is insufficient from the standpoint of satisfying QoS of received data on the receiving side. Here, QoS refers to an error rate requested from a higher layer, allowable delay time, transmission rate, variations, packet discarding rate, etc.

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# Disclosure of Invention

It is an object of the present invention to enable high-speed data transmission while satisfying QoS of a transmission packet.

This object is attained, when determining adaptive modulation parameters of a transmission packet, by a base station apparatus and adaptive modulation method that makes a conversion so as to set an offset according to QoS of the packet for a CQI used and determines adaptive modulation parameters based on this converted CQI.

According to the conventional adaptive modulation method, when determining adaptive modulation parameters, a CQI (Channel Quality Indicator) corresponding to a reception CIR is notified from a mobile station and a base station determines adaptive modulation parameters whose error resistance to the transmission packet is considered neither excessive nor deficient but necessary and sufficient. Therefore, in an ideal state, sending

a packet with these adaptive modulation parameters must cause almost no reception error at a mobile station. The adaptive modulation parameters refer to modulation scheme, coding rate, number of codes, TB size, etc.

However, reception errors may actually occur at the mobile station for the aforementioned reason that the propagation path environment deteriorates, etc. To prevent this, it is possible to take into account a predetermined offset when selecting adaptive modulation parameters and select safer adaptive modulation parameters for all packets in a single uniform way. However, in such a case, though a probability of packet retransmission due to reception errors decreases, there is a possibility that the transmission efficiency of the overall communication system may deteriorate.

Therefore, noting the QoS that indicates the quality, contents and degree of importance of a transmission packet, the present inventor has come up with the present invention by discovering that when determining adaptive modulation parameters, it is possible to maintain or improve the transmission efficiency in the communication system as a whole by setting a predetermined offset according to the QoS.

# 25 Brief Description of Drawings

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FIG.1 is a block diagram showing the configuration of a base station apparatus according to Embodiment 1; FIG.2 illustrates a concept of the data structure

of a memory according to Embodiment 1;

FIG.3 is a block diagram showing the internal configuration of an adaptive modulation control section according to Embodiment 1;

5 FIG.4 illustrates the data configuration of an offset table according to Embodiment 1;

FIG.5 illustrates the data configuration of an adaptive modulation parameter table according to Embodiment 1;

10 FIG.6 is a flow chart showing steps of adaptive modulation processing according to Embodiment 1;

FIG. 7 is a block diagram showing the configuration of a base station apparatus according to Embodiment 2;

FIG.8 is a block diagram showing the internal configuration of an adaptive modulation control section according to Embodiment 2;

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FIG. 9 is a block diagram showing the configuration of a base station apparatus according to Embodiment 3;

FIG. 10 is a block diagram showing the internal configuration of an adaptive modulation control section according to Embodiment 3;

FIG.11 is a block diagram showing an example of the configuration of an adaptive modulation control section according to Embodiment 4; and

25 FIG.12 is a block diagram showing the configuration of a base station apparatus according to Embodiment 5.

Best Mode for Carrying out the Invention

With reference now to the attached drawings, embodiments of the present invention will be explained in detail below.

# 5 (Embodiment 1)

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FIG.1 is a block diagram showing the configuration of a base station apparatus according to Embodiment 1.

A base station apparatus 100 is provided with a memory 101, a transmission packet creation section 102, a coding/modulation section 103, a demodulation/decoding section 104, a scheduler 105 and an adaptive modulation control section 106. Here, for simplicity of explanation, a block that performs transmission/reception processing on a radio signal used for communications between a mobile station and the base station apparatus 100 is omitted.

A feature of this embodiment is to convert a CQI which is requested from a mobile station (UE; User Equipment) to a low value according to QoS when a transmission packet is actually transmitted and determine adaptive modulation parameters using the converted CQI to thereby adaptively modulate a transmission packet. In this way, when, for example, the mobile station sends a CQI requesting a 16QAM modulation scheme, if the base station actually sends a packet, the base station sends the packet according to a QPSK modulation scheme with stronger error resistance for received data, and can thereby improve the error rate characteristic on the mobile station side which receives this packet.

In FIG.1, the memory 101 stores data transmitted from a control station (RNC; Radio Network Controller) which is a higher-level station. The internal structure of the memory will be described later. In response to instructions from the scheduler 105 and adaptive modulation control section 106, the transmission packet creation section 102 extracts transmission data from the memory 101, creates a transmission packet from this data and outputs it to the coding/modulation section 103. coding/modulation section 103 carries out coding processing on the packet output from the transmission packet creation section 102 under the control of the adaptive modulation control section 106, and then carries out modulation processing on the coded packet and transmits this packet to the mobile station through a radio transmission section and transmission antenna (both of which are not shown).

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The demodulation/decoding section 104 carries out demodulation processing and decoding processing on a signal transmitted from the mobile station. Of the decoded received data, a CQI is output to the scheduler 105 and adaptive modulation control section 106. The scheduler 105 schedules packets to be transmitted to the mobile station based on the notified CQI and notifies the transmission packet creation section 102 and adaptive modulation control section 106 of the selected mobile station and queue (UE/Queue). The adaptive modulation control section 106 determines adaptive modulation

parameters of the transmission packet based on the notified CQI and outputs a control signal indicating the determined parameters to the transmission packet creation section 102 and coding/modulation section 103.

FIG.2 illustrates a concept of the data structure of the memory 101.

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The memory 101 includes memories 101-1, 101-2, ... (only 101-1 and 101-2 are shown) corresponding to mobile stations (UE) under the control of the base station apparatus 100. Furthermore, each memory is provided with a queue corresponding to priority classes (service classes) 1 to 5. When the memory 101 receives transmission data directed to the mobile station from a higher-level station, it stores this data in a queue corresponding to the mobile station to which that transmission data is directed and the priority class. This priority class is notified from the higher-level station concurrently with the transmission data and is, for example, a service class which is a classified QoS represented by a real-time characteristic of data. in response to a request from the transmission packet creation section 102 which has received an instruction from the scheduler 105, the memory 101 selects a switch and outputs the data from the corresponding queue to the transmission packet creation section 102.

FIG.3 is a block diagram showing the internal configuration of the adaptive modulation control section 106. The adaptive modulation control section 106

includes an offset table 111, a CQI conversion section 112, an adaptive modulation parameter determination section 113 and an adaptive modulation parameter table 114.

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The offset table 111 receives the UE/queue selected from the scheduler 105 and outputs the corresponding offset value to the CQI conversion section 112. illustrates the data configuration of this offset table Suppose the base station is notified of a value corresponding to the QoS level of each packet from the higher-level apparatus for each packet. It is appreciated that as the QoS level (e.g., real-time characteristic of data) increases, the absolute value of an offset value increases. O is set as an offset for data with a low QoS level (e.g., best effort data with a low real-time characteristic). That is, the CQI value does not change for such data even after a correction. Here, for clarity, a table type data in which offset values are set for QoS's of the respective packets is shown, but the memory 101 actually stores packets in queues corresponding to QoS's of the respective packets and notifies the offset table 111 of the queues in which the respective packets are stored, and therefore offset values corresponding to the respective queues are actually set in the table.

The CQI conversion section 112 converts the CQI output from the demodulation/decoding section 104 using an offset value output from the offset table 111 in such

a way as:

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CQI' = CQI - offset value  $\cdots$  (Equation 1) and obtains the converted CQI (CQI' in the equation above) and outputs the CQI' to the adaptive modulation parameter determination section 113.

Based on the CQI output from the CQI conversion section 112, the adaptive modulation parameter determination section 113 determines adaptive modulation parameters (TB size, modulation multivalue number, coding rate) with reference to the adaptive modulation parameter table 114 and outputs the parameters to the transmission packet creation section 102 and coding/modulation section 103. FIG.5 illustrates the data configuration of the adaptive modulation parameter table 114 above. TB size, modulation multivalue number, coding rate, etc., are set for each CQI.

Next, steps of adaptive modulation processing by the base station apparatus having the above described configuration will be explained using the flow chart shown in FIG.6.

First, a mobile station (UE) sends a CQI indicating a propagation environment to a base station (BS) (ST1010). Then, a control station which is a higher-level station sends transmission data directed to each mobile station to the base station (ST1020). The base station stores this data in queues (memory 101) classified by service, etc., (ST1030). The scheduler 105 mounted in the base station performs scheduling for determining the order

in which transmission packets are sent to the mobile station based on the CQI, etc., (ST1040), determines a mobile station to which the packets are transmitted and selects the queue in which the transmission data corresponding to this mobile station is stored (ST1050). The adaptive modulation control section 106 converts the CQI notified from the mobile station determined as the receiver of the data (ST1060). The adaptive modulation parameter determination section 113 determines the adaptive modulation parameters (TB size, modulation multivalue number, coding rate) based on the converted CQI with reference to the adaptive modulation parameter table 114, the transmission packet creation section 102 creates a transmission packet according to these adaptive modulation parameters (ST1070) and the coding/modulation section 103 codes and modulates (ST1080) and transmits the packet (ST1090).

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In the above described configuration, the adaptive modulation parameter determination section 113

20 determines adaptive modulation parameters (TB size, modulation multivalue number, coding rate) indicating the adaptive modulation parameters of the transmission packet based on the CQI' which is the CQI subjected to a predetermined conversion. The CQI before the

25 conversion specifies adaptive modulation parameters so that the receiving side receives the packet with necessary and sufficient reception quality. The CQI conversion section 112 converts this CQI so as to reduce the offset

value corresponding to each QoS' and ensures that the transmitting side sends a packet with higher quality than the quality requested by the receiving side.

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This allows adaptive modulation parameters to be changed for each service (QoS) making it easier for each packet to satisfy QoS at the time of reception. That is, in the case of transmission packets directed to the same mobile station, the CQI notified from the mobile station is the same, whereas it is assigned adaptive modulation parameters which differ from one QoS (selected queue) to another. This allows adaptive modulation parameters which can be received more easily to be selected for service data requiring a real-time characteristic such as speech, video, etc.

Furthermore, the offset table 111 in the above described configuration does not store fixed offsets for all QoS's, and offsets, for example, of best effort packets having a low real-time characteristic are set to 0. Thus, a CQI is not converted in a single uniform way, but for packets whose CQI is converted, such adaptive modulation parameters that reduce reception errors are selected, while the same adaptive modulation parameters as the conventional ones are applied to packets whose CQI is not converted. That is, it is possible to reduce reception errors for some packets while preventing throughput of the communication system from degrading.

Furthermore, in the above described configuration, it is possible to change adaptive modulation parameters

based on QoS by only adding the above described CQI correction circuit (CQI conversion section 112) to the conventional apparatus which determines adaptive modulation parameters based on only a CQI, which makes implementation easier.

Thus, according to this embodiment, it is possible to realize fast data transmission while satisfying QoS's of transmission packets.

# 10 (Embodiment 2)

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FIG.7 is a block diagram showing the configuration of a base station apparatus 200 according to Embodiment 2 of the present invention. This base station apparatus has the same basic configuration as that of the base station apparatus shown in FIG.1 and the same components are assigned the same reference numerals and explanations thereof will be omitted.

A feature of this embodiment is that when a CQI conversion section 203 converts a CQI, it converts the CQI taking into account a QoS achievement ratio (level of achievement) of past transmission packets. Here, a case where a packet discarding rate is used as a specific QoS achievement ratio will be explained as an example. The packet discarding rate represents a ratio of packets discarded in a unit time. Furthermore, packets discarded are packets which are not transmitted within a predetermined time or packets which are discarded because the number of retransmissions exceeds a predetermined

number.

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In FIG. 7, a packet discarding rate measuring section 201 checks the transmission state of packets in a memory 101, measures a packet discarding rate of each UE queue by queue and outputs the packet discarding rate to an adaptive modulation control section 202. The adaptive modulation control section 202 corrects the offset values explained in Embodiment 1 taking into account the packet discarding rate and converts a CQI using the corrected offset value to thereby perform adaptive modulation control.

FIG.8 is a block diagram showing the internal configuration of the adaptive modulation control section 202. This adaptive modulation control section 202 has the same basic configuration as that of the adaptive modulation control section 106 shown in FIG.3, and therefore the same components are assigned the same reference numerals and explanations thereof will be omitted.

The CQI conversion section 203 compares the packet discarding rate output from the packet discarding rate measuring section 201 with a threshold and when the packet discarding rate is equal to or greater than the threshold, it corrects an offset value output from the offset table 111 by increasing the offset value by 1 dB and when the packet discarding rate is smaller than the threshold, it corrects the offset value by decreasing the offset value by 1 dB. This can be expressed by the following

equation:

Corrected offset value = initial offset value + f(x)
... (Equation 2)

5 where,

x = packet discarding rate - threshold ... (Equation
3)

 $f(x) = 1 dB (x \ge 0) \cdots (Equation 4)$ 

 $f(x) = -1 dB (x<0) \cdots (Equation 5)$ 

Here, the case where an offset value is corrected in increments of 1 dB has been explained as an example, but it is also possible to use a value proportional to a difference between the packet discarding rate and threshold. Such a case can be expressed by the following equation:

Corrected offset value = initial offset value + x ... (Equation 6)

Thus, according to this embodiment, CQI is converted according to the actual reception situation of each UE, and therefore it is possible to effectively reduce the reception error rate and make it easier for transmission data to satisfy QoS. Furthermore, since the number of retransmissions is reduced, it is possible to prevent the throughput of the overall communication system from degrading.

Furthermore, it also has the effect of eliminating the necessity to strictly determine offset values

(initial values) to be stored in the offset table 111 beforehand. That is, though offset values cannot be determined strictly if the performance of UE differs, this embodiment allows ex-post corrections of offset values.

## (Embodiment 3)

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FIG. 9 is a block diagram showing the configuration of a base station apparatus 300 according to Embodiment 3 of the present invention. This base station apparatus has the same basic configuration as that of the base station apparatus shown in FIG.1 and the same components are assigned the same reference numerals and explanations thereof will be omitted.

A feature of this embodiment is that an adaptive modulation control section 302 converts a CQI taking into account a QoS urgency level measured by a QoS urgency level measuring section 301 to perform adaptive modulation control. The QoS urgency level refers to a remaining time with respect to a transmission allowable delay time of a transmission packet and a high QoS urgency level means that the transmission packet must be sent as rapidly as possible.

FIG.10 is a block diagram showing the internal configuration of the adaptive modulation control section 302. The same components as those of the adaptive modulation control section 106 shown in FIG.3 are assigned the same reference numerals and explanations thereof will

be omitted.

A CQI conversion section 303 calculates an offset value according to the following equation:

5 Offset value =  $1/(\text{remaining time} + \alpha)$  ... (Equation 7) and outputs the offset value to an adaptive modulation parameter determination section 113. Here,  $\alpha$  is a constant for preventing the value of the fraction in the above described equation from becoming infinity when the 10 remaining time is 0.

Thus, even for the data stored in the same queue, this embodiment changes its amount of offset depending on the urgency level with respect to QoS (allowable delay time), and can thereby receive urgent packets more easily and improve the achievement ratio of QoS. Furthermore, for packets with a low urgency level, this embodiment reduces the amount of offset to thereby reduce the possibility that retransmissions may occur in a higher layer and can thereby prevent the end-to-end (overall communication system) throughput from degrading.

## (Embodiment 4)

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FIG.11 is a block diagram showing an example of the configuration of an adaptive modulation control section 402 according to Embodiment 4 of the present invention. This adaptive modulation control section 402 is a combination of the adaptive modulation control section 202 of Embodiment 2 (see FIG.8) and the adaptive modulation

control section 302 of Embodiment 3 (see FIG.10) and the same components are assigned the same reference numerals and explanations thereof will be omitted.

A CQI conversion section 403 calculates an offset value from the following equation:

Offset value =  $f(x) + 1/(remaining time + \alpha)$  ... (Equation 8)

Thus, this embodiment corrects the offset based on both QoS and the urgency level of QoS, and can thereby make it easier to achieve QoS during reception.

## (Embodiment 5)

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of a base station apparatus 500 according to Embodiment 5 of the present invention. This base station apparatus has the same basic configuration as the base station apparatus apparatus shown in FIG.1 and the same components are assigned the same reference numerals and explanations thereof will be omitted.

A feature of this embodiment is the inclusion of both a scheduler 105a that schedules packets based on an urgency level of QoS and an adaptive modulation control section 502 having the same configuration as that of any one of the adaptive modulation control sections explained in Embodiment 1 to Embodiment 4.

A memory 101 notifies a QoS urgency level measuring section 501 of a storage time  $t_{\rm S}$  at which a packet is stored,

a priority class of the queue in which the packet is stored and specified time  $T_{\rm L}$  of the queue in which the packet is stored for each packet.

The QoS urgency level measuring section 501 contains timers for the respective packets, calculates a remaining time during which a packet can stay in the memory 101, that is, a remaining time with respect to the time (allowable delay time) that the packet can accept as a delay of transmission timing based on  $t_{\rm S}$  and  $T_{\rm L}$  and outputs the remaining time to the scheduler 105a.

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The scheduler 105a calculates priority for each packet using the remaining time output from the QoS urgency level measuring section 501, selects a queue in which the packet with the highest priority is stored and outputs queue to a transmission packet creation section 102. As the above described remaining time, a value corresponding to the packet stored in the queue at the oldest time is used. This causes the scheduler 105a to set a higher priority for a packet having less remaining time.

Then, the scheduler 105a further controls the transmission packet creation section 102 and adaptive modulation control section 502 according to the requested priority.

Thus, according to this embodiment, the scheduler

105a sends packets stored in a UE/queue with a high urgency
level preferentially. However, even if the packets are
sent with a high priority, that would be meaningless if
the packets cannot be received and retransmission is

repeated, which may lead to a reduction of throughput. Furthermore, even if adaptive modulation parameters of a UE/queue with a high urgency level are corrected and sent in a manner they can be easily received as in the cases of Embodiment 1 to Embodiment 4, the effects would be small if opportunities for transmission are not given with a high priority. Therefore, by combining the above described two circuits using a common measure (criterion of judgment) of the QoS urgency level and keeping them tuned, it is possible to improve both functions, further prevent the system throughput from degrading through the synergetic effect and improve an achievement ratio of QoS.

Embodiment 1 through Embodiment 5 have explained the cases where adaptive modulation is realized with QoS taken into consideration by correcting the value of CQI notified from the mobile station as examples here, but the way how QoS is taken into consideration is not limited to this and it is also possible to determine adaptive modulation parameters based on a CQI notified from the mobile station and then correct the adaptive modulation parameters based on the QoS.

Furthermore, Embodiment 1 through Embodiment 5 have explained the cases where the base station determines adaptive modulation parameters as examples here, but it is also possible for the mobile station to determine adaptive modulation parameters in the same configuration as that described above and notify the parameters to the

base station or it is further possible for the mobile station to determine adaptive modulation parameters with QoS taken into consideration and notify the parameters to the base station.

Furthermore, Embodiment 1 through Embodiment 5 have explained the cases where a prestored table data is used or arithmetic calculation equations are used to correct the value of a CQI as examples here, but the correction of the CQI value is not limited to either of the two methods and they are mutually compatible.

As described above, the present invention can realize fast data transmission while satisfying QoS of transmission packets.

This application is based on the Japanese Patent

15 Application No.2002-346270 filed on November 28, 2002,

entire content of which is expressly incorporated by
reference herein.

# Industrial Applicability

The present invention is applicable to a base station apparatus that performs adaptive modulation in a communication system such as HSDPA (High Speed Downlink Packet Access) and an adaptive modulation method used in this apparatus.

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